Scalable applications for energy-aware processors

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Abstract

Next generation processors for battery operated computing systems can work under different voltage levels to balance speed versus power consumption. In such a way, the performance of a system can be degraded to achieve a longer battery duration, or it can be increased when the battery level is high. Unfortunately, however, in the presence of timing and resource constraints, the performance of a real-time system does not always improve as the speed of the processor is increased. Similarly, when reducing the processor speed, the quality of the delivered service may not always degrade as expected.

This paper presents the potential problems that may arise in a voltage-controlled real-time system and proposes an approach that allows to develop real-time applications, whose performance can be scaled in a controlled fashion as a function of the processor speed.

1 Introduction

Battery operated computing systems are very common today and will increase in the future to include cell phones, wearable computers, portable televisions, GPS-based systems, video games, and many other multimedia devices. Most of such systems run under real-time constraints which determine the quality of service delivered to the user. An important issue in these systems is the possibility to control their energy consumption, which directly affects their lifetime, as well as their performance.

In a computer system, the power consumption is related to the voltage at which the circuits operate according to an increasing convex function, whose precise form depends on the specific technology. For example, in CMOS circuits, the power consumption due to dynamic switching dominates the power lost to static leakage [11, 18] and the dynamic portion P_d of power consumption is given by

$$P_d = \alpha_T \cdot C_{load} \cdot f_C \cdot V_{dd}^2, \tag{1}$$

where α_T is the activity factor expressing the amount of switching, C_{load} is the capacitance load, f_C is the clock frequency, and V_{dd}^2 is the supply voltage. However, the voltage also affects the maximum frequency at which the processor clock can run. In particular, circuit delay depends on the supply voltage as

$$D = k\frac{V_{dd}}{(V_{dd} - V_t)^2}, \tag{2}$$

where k is a constant and V_t is the threshold voltage (i.e., the minimum voltage that can be supplied to the processor allowing full and correct functionality) [3].

Equation (1) and (2) express that supply voltage reduction can achieve a quadratic power saving at the expense of a roughly linear frequency reduction. Hence, the amount of energy (power x time) consumed by a portable system can be controlled through the speed and voltage at which the processor operates [13]: we could decide to have a high performace system for a short period, or a lower performance for a longer duration. To exploit such a possibility, next generation processors will be designed to work under different voltage levels, thus enabling applications to run at different speeds.

When increasing the speed, we would expect all the application tasks to finish earlier, in order to improve system's performance. Unfortunately this is not always the case. In [16], Graham showed that several scheduling anomalies may arise when running real-time applications on multiprocessor systems. When tasks share mutually exclusive resources, such anomalies may also arise in a uniprocessor system, as it will be shown in the next session.

Conversely, when voltage is decreased to save energy consumption, we would like the application to run slower in a controlled fashion, where all tasks increase their response times according to some predefined strategy (e.g., depending on their priority

level). For reasons similar to the ones described above, this may not always be achieved in the presence of shared resources.

In addition, when the processor speed is decreased, all tasks increase their computation time, so the processor may experience an overload condition. If the overload is permanent, then the application behavior may be quite unpredictable.

The problem of achieving scalable applications in processors with variable speed has recently been addressed by some authors. Al Mok [23] illustrated the potential problems that can occur in a real-time system with variable speed when tasks are non preemptive, but no solution has been proposed to achieve scalability.

Yao et al. [28] described an optimal off-line scheduling algorithm to minimize the total energy consumption while meeting all timing constraints, but no on-line voltage change is assumed. Non-preemptive power-aware scheduling is investigated in [17].

The problem of minimizing the energy consumption in a set of periodic tasks with different power consumption characteristics has been solved by Aydin et al. [3], who proposed an algorithm to find the optimal processor speed for each task. However, tasks are assumed to be independent.

Aydin et al. [4] investigated the problem of scheduling hard real-time tasks using dynamic voltage scaling and proposed an algorithm to compute the optimal processor speed which allows to minimize energy consumption.

In [22], Melhem at al. proposed several scheduling techniques to reduce energy consumption of real-time applications in power-aware operating systems, but the scalability problem is not considered.

In this paper, we propose a computational model which allows to achieve scalability during voltage changes, in order to run real-time applications whose performace can be scaled as a function of the processor speed.

The rest of the paper is organized as follows: Section 2 introduces the problem to be solved and presents some scheduling anomalies that may arise when running real-time applications at different speeds. Section 3 states our terminology and assumptions. Section 4 presents a kernel communication mechanism that allows data sharing among periodic tasks while preserving scalability. Section 5 describes a technique to easily adjust task rates when the speed reduction causes a permanent overload condition. Finally, Section 6 states our conclusions and future work.

2 Problem statement

This section illustrates the problems that may arise under specific circumstances when executing a set of real-time tasks in a processor with variable speed. Such problems prevent controlling the performance of a real-time application as a function of the voltage, since a task could even increase its response time when executed at a higher speed. Typically, such scheduling anomalies arise when tasks share mutually exclusive resources or are handled by non-preemptive scheduling policies.

Figure 1 illustrates a simple example, where two tasks, τ_1 and τ_2, share a common resource. Task τ_1 has a higher priority, arrives at time $t = 2$ and has a relative deadline $D_1 = 7$. Task τ_2, having lower priority, arrives at time $t = 0$ and has a relative deadline $D_2 = 23$. When the tasks are executed at the nominal speed S_n, τ_1 has a computation time $C_1 = 6$, (where 2 units of time are spent in the critical section), whereas τ_2 has a computation time $C_2 = 18$ (where 12 units of time are spent in the critical section). As shown in Figure 1a, if τ_1 arrives just before τ_2 enters its critical section, it is able to complete before its deadline, without experiencing any blocking. However, if the same task set is executed at a double speed $S = 2S_n$, τ_1 misses its deadline, as clearly illustrated in Figure 1b. This happens because, when τ_1 arrives, τ_2 already granted its resource, causing an extra blocking in the execution of τ_1, due to mutual exclusion.

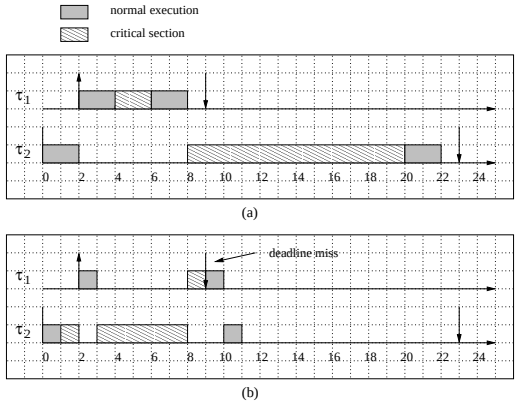

Figure 1. Scheduling anomaly in the presence of resource constraints: task τ_1 meets its deadline when the processor is executing at its nominal speed S_n (a), but misses its deadline when the speed is doubled (b).

Figure 2 illustrates another anomalous behavior occuring in a set of three real-time tasks, τ_1, τ_2 and τ_3, running in a non-preemptive mode. Tasks are assigned a fixed priority proportional to their relative deadline, thus τ_1 is the task with the highest priority and τ_3 is the task with the lowest priority. As shown in Figure 2a, when tasks are executed at the nominal speed S_n, τ_1 has a computation time $C_1 = 2$ and completes at time $t = 6$. Conversely, if the same task set is executed with double speed $S = 2S_n$, τ_1 misses its deadline, as clearly illustrated in Figure 2b. This happens because, when τ_1 arrives, τ_3 already started its execution and cannot be preempted (due to the non-preemptive mode).

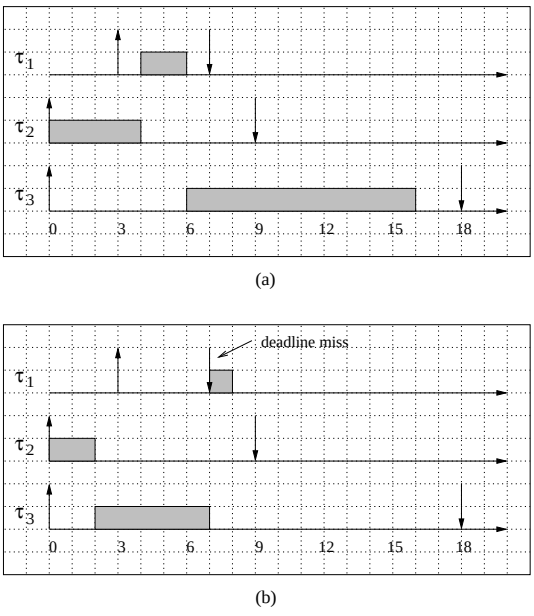

Figure 2. Scheduling anomaly in the presence of non-preemptive tasks: task τ_1 meets its deadline when the processor is executing at its nominal speed S_n (a), but misses its deadline when the speed is doubled (b).

It is worth observing that a set of non preemptive tasks can be considered as a special case of a set of tasks sharing a single resource (the processor) for their entire execution. In this view, each task executes as it was inside a big critical section with length equal to the task computation time. Once a task starts executing it locks the common semaphore, thus preventing other tasks from taking the processor.

The following example illustrates the negative effects of a permanent oveload condition caused by a speed reduction. In this case, decreasing the processor speed degrades the system's performance in an uncontrolled fashion.

Figure 3 illustrates an example with three tasks, τ_1, τ_2, and τ_3, in which the processor speed is decreased by a factor of 2. Figure 3a shows a feasible schedule produced by the Rate Monotonic (RM) algorithm [21] when the processor runs at its nominal speed S_n, so the tasks have computation times $C_1 = 2$, $C_2 = 2$, and $C_3 = 4$, respectively. Figure 3b shows the schedule obtained by RM when the processor speed is reduced by half, $S = S_n/2$, so that all computation times are doubled. In this case, a speed reduction generates a permanent overload, which causes τ_2 to miss its deadline and prevents τ_3 to execute at all.

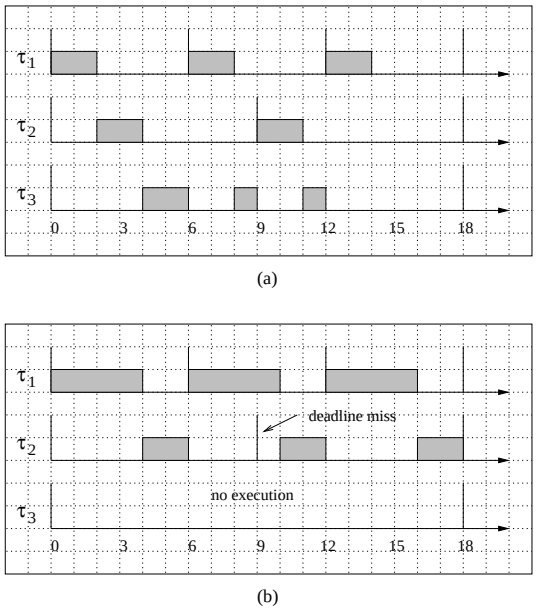

Figure 3. Effects of a permanent overload due to a speed reduction. In case (b) the processor is running at half speed with respect to case (a).

3 Terminology and assumptions

From the examples shown in Section 2, it is clear that, in order to achieve scalability as a function of speed, tasks have to be fully preemptive and cannot block on shared resources. In Section 4 we present

a communication mechanism which allows tasks to exchange data asynchronously, without blocking on mutually exclusive buffers. Moreover, to avoid the negative effects of a permanent overload caused by a speed reduction, tasks periods need to be specified with some degree of flexibility, so that they can be resized to handle the overload condition. As an overload is detected, period adaptation can be performed using different methodologies.

In this paper, rate adaptation is performed using the elastic model [8, 10], according to which task utilizations are treated like springs that can adapt to a given workload through period variations. The advantage of the elastic model with respect to the other methods proposed in the literature is that a new period configuration can easily be determined on line as a function of the elastic coefficients, which can be set to reflect tasks' importance. Once elastic coefficients are defined based on some design criterion, periods can be quickly computed on line depending on the current workload and the desired load level.

In summary, the computational model adopted in this work considers a uniprocessor system whose speed S can be controlled as a function of the supplied voltage. An application consists of a set of periodic tasks, each characterized by four parameters: a worst-case computation time $C_i(S)$ (which is a function of the speed) a nominal period T_{i_0} (considered as the desired minimum period), a maximum allowed period $T_{i_{max}}$, and an elastic coefficient E_i. The elastic coefficient specifies the flexibility of the task to vary its utilization for adapting the system to a new feasible rate configuration: the greater E_i, the more elastic the task. Thus, an elastic task is denoted as:

$$\tau_i(C_i, T_{i_0}, T_{i_{max}}, E_i).$$

From a design perspective, elastic coefficients can be set equal to values which are inversely proportional to tasks' importance. In the following, T_i will denote the actual period of task τ_i, which is constrained to be in the range $[T_{i_0}, T_{i_{max}}]$. Any period variation is always subject to an *elastic* guarantee and is accepted only if there exists a feasible schedule in which all the other periods are within their range. In such a framework, tasks are scheduled by the Earliest Deadline First algorithm [21]. Hence, if $\sum \frac{C_i(S)}{T_{i_0}} \leq 1$, all tasks can be created at the minimum period T_{i_0}, otherwise the elastic algorithm is used to adapt the tasks' periods to T_i such that $\sum \frac{C_i(S)}{T_i} = U_d \leq 1$, where U_d is some desired utilization factor.

To simplify the analysis we assume that tasks have a relative deadline equal to their period ($D_i = T_i$).

In general, a set of periodic tasks is denoted by

$$\Gamma = \{\tau_i(C_i, T_i, D_i),\ i = 1, \ldots, n\}.$$

The release time $r_{i,k}$ and the absolute deadline $d_{i,k}$ of the generic kth instance ($k > 0$) can then be computed as

$$\begin{aligned} r_{i,k} &= \Phi_i + (k-1)T_i \\ d_{i,k} &= r_{i,k} + D_i, \end{aligned}$$

where Φ_i is the task phase, that is the activation time of the first task instance.

4 Avoiding blocking through asynchronous buffers

This section describes how blocking on shared resources can be avoided through the use of Cyclical Asynchronous Buffers, or CABs, a kind of wait free mechanism which allows tasks to exchange data without forcing a synchronization. In a CAB, read and write operations can be performed simultaneously without causing any blocking. Hence, a task can write a new message in a CAB while another task is reading the previous message. Mutual exclusion between reader and writer is avoided by means of memory duplication. In other words, if a task τ_W wants to write a new message into a CAB while a task τ_R is reading the current message, a new buffer is created, so that τ_W can write its message without interfering with τ_R. As τ_W finishes writing, its message becomes the most recent one in the CAB. To avoid blocking, the number of buffers that a CAB must handled has to be equal to the number of tasks that use the CAB plus one.

CABs were purposely designed for the cooperation among periodic activities running at different rates, such as control loops and sensory acquisition tasks. This approach was first proposed by Clark [12] for implementing a robotic application based on hierarchical servo-loops, and it is used in the HARTIK kernel [7] and in the SHARK kernel [15] as a basic communication support among periodic hard tasks.

In general, a CAB provides a one-to-many communication channel, which at any instant contains the latest message or data inserted in it. A message is not consumed by a receiving process, but is maintained into the CAB structure until a new message is overwritten. As a consequence, once the first message has been put in a CAB, a task can never be blocked during a receive operation. Similarly, since

a new message overwrites the old one, a sender can never be blocked.

Notice that, using such a semantics, a message can be read more than once if the receiver is faster than the sender, while messages can be lost if the sender is faster than the receiver. However, this is not a problem in many control applications, where tasks are interested only in fresh sensory data rather than in the complete message history produced by a sensory acquisition task.

To insert a message in a CAB, a task must first reserve a buffer from the CAB memory space, then copy the message into the buffer, and finally put the buffer into the CAB structure, where it becomes the most recent message. This is done according to the following scheme:

```
buf_pointer = reserve(cab_id);
<copy message in *buf_pointer>
putmes(buf_pointer, cab_id);
```

Similarly, to get a message from a CAB, a task has to get the pointer to the most recent message, use the data, and release the pointer. This is done according to the following scheme:

```
mes_pointer = getmes(cab_id);
<use message>
unget(mes_pointer, cab_id);
```

4.1 An example

To better illustrate the CAB mechanism, we describe an example in which a task τ_W writes messages in a CAB, and two tasks, τ_{R_1} and τ_{R_2}, read messages from the CAB. As it will be shown below, to avoid blocking and preserve data consistency, the CAB must contain 4 buffers. Consider the following sequence of events:

- At time t_1, task τ_W writes message M_1 in the CAB. When it finishes, it becomes the most recent data (*mrd*) in the CAB.
- At time t_2, task τ_{R_1} asks the system to read the most recent data in the CAB and receives a pointer to M_1.
- At time t_3, task τ_W asks the system to write another message M_2 in the CAB, while τ_{R_1} is still reading M_1. Hence, a new buffer is reserved to τ_W. When it finishes, M_2 becomes the most recent data in the CAB.
- At time t_4, while τ_{R_1} is still reading M_1, τ_{R_2} asks the system to read the most recent data in the CAB and receives a pointer to M_2.
- At time t_5, while τ_{R_1} and τ_{R_2} are still reading, τ_W asks the system to write a new message M_3 in the CAB. Hence, a third buffer is reserved to τ_W. When it finishes, M_3 becomes the most recent data in the CAB.
- At time t_6, while τ_{R_1} and τ_{R_2} are still reading, τ_W asks the system to write a new message M_4 in the CAB. Notice that, in this situation, M_3 cannot be overwritten (being the most recent data), hence a fourth buffer must be reserved to τ_W. In fact, if M_3 is overwritten, τ_{R_1} could ask reading the CAB while τ_W is writing, thus finding the most recent data in an inconsistent state. When τ_W finishes writing M_4 into the fourth buffer, the *mrd* pointer is updated and the third buffer can be recycled if no task is accessing it.
- At time t_7, τ_{R_1} finishes reading M_1 and releases the first buffer (which can then be recycled).
- At time t_8, τ_{R_1} asks the system to read the most recent data in the CAB and receives a pointer to M_4.

Figure 4 illustrates the situation in the example, at time t_5, when τ_W is writing M_3 in the third buffer. Notice that at this time, the most recent data (mrd) is still M_2. It will be updated to M_3 only at the end of the write operation.

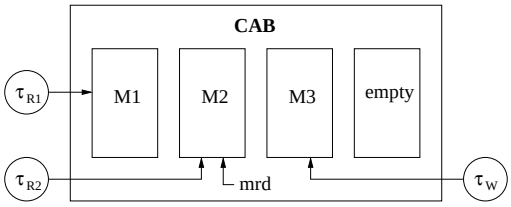

Figure 4. Buffer configuration in the CAB, at time t_5.

5 Rate adaptation under permanent overloads

Section 2 illustrated how the performance can be degraded when a permanent overload occurs due to

a speed reduction. To avoid such a negative effect, tasks periods need to be adjusted to remove the overload condition. Rate adaptation can be performed by many ways. For example, Kuo and Mok [19] proposed a load scaling technique to degrade the workload of a system by adjusting the task periods. Tasks are assumed to be equally important and the objective is to minimize the number of fundamental frequencies to improve schedulability under static priority assignments. In [20], Lee, Rajkumar and Mercer proposed a number of policies to dynamically adjust tasks' rates in overload conditions. In [24], Nakajima showed how a multimedia activity can adapt its requirements during transient overloads by scaling down its rate or its computational demand. However, it is not clear how the QoS can be increased when the system is underloaded. In [6], Beccari et al. proposed several policies for handling overload through period adjustment. The authors, however, do not address the problem of increasing the task rates when the processor is not fully utilized.

In this paper, task rate adjustment is performed through the elastic task model [8, 10], according to which task utilizations are treated like springs that can adapt to a given workload through period variations. The advantage of the elastic model with respect to the other methods proposed in the literature is that a new period configuration can easily be determined on line as a function of the elastic coefficients, which can be set to reflect tasks' importance. Once elastic coefficients are defined based on some design criterion, periods can be quickly computed on line depending on the current workload and the desired load level. Moreover, the elastic model can also be used in combination with a feedback mechanism, as done in [9], when system parameters are not known a priori.

5.1 The elastic approach

Whenever the total processor utilization $U_0 = \sum_{i=1}^{n} \frac{C_i}{T_{i_0}}$ is greater than one (i.e., there is a permanent overload in the system), the utilization of each task needs to be reduced so that the total utilization becomes $U_d = \sum_{i=1}^{n} \frac{C_i}{T_i} \leq 1$. This can be done as in a linear spring system, where springs are compressed by a force F (depending of their elasticity) up to a desired total length. The concept is illustrated in Figure 5.

As shown in [10], in the absence of period constraints (i.e., if $T_{max} = \infty$), the utilization U_i of each compressed task can be computed as follows:

$$\forall i \quad U_i = U_{i_0} - (U_0 - U_d)\frac{E_i}{E_v}. \tag{3}$$

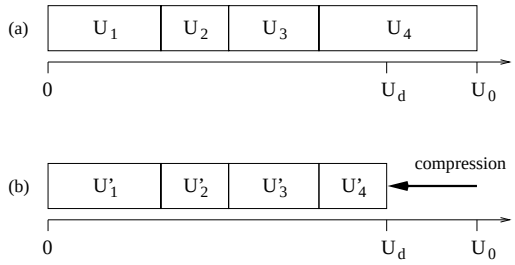

Figure 5. Compressing the utilizations of a set of elastic tasks.

where

$$E_v = \sum_{i=1}^{n} E_i. \tag{4}$$

In the presence of period constraints ($T_i \leq T_{i_{max}}$), however, the problem of finding the values T_i requires an iterative solution. In fact, if during compression one or more tasks reach their maximum period, the additional compression has to affect only to the remaining periods. Thus, at each instant, the set Γ of tasks can be divided into two subsets: a set Γ_f of fixed tasks having maximum period, and a set Γ_v of variable tasks whose period can still be enlarged. Applying the equations to the set Γ_v of variable springs, we have

$$\forall \tau_i \in \Gamma_v \quad U_i = U_{i_0} - (U_{v_0} - U_d + U_f)\frac{E_i}{E_v} \tag{5}$$

where

$$U_{v_0} = \sum_{\tau_i \in \Gamma_v} U_{i_0} \tag{6}$$

$$U_f = \sum_{\tau_i \in \Gamma_f} U_{i_{min}} \tag{7}$$

$$E_v = \sum_{\tau_i \in \Gamma_v} E_i. \tag{8}$$

If there exist tasks for which $U_i < U_{i_{min}}$, then the period of those tasks has to be fixed at its maximum value $T_{i_{max}}$ (so that $U_i = U_{i_{min}}$), sets Γ_f and Γ_v must be updated (hence, U_f and E_v recomputed), and equation (5) applied again to the tasks in Γ_v. If there exists a feasible solution, that is, if the desired utilization U_d is greater than or equal to the minimum possible utilization $U_{min} = \sum_{i=1}^{n} \frac{C_i}{T_{i_{max}}}$, the iterative process ends when each value computed by equation (5) is greater than or equal to its corresponding minimum $U_{i_{min}}$. In [10] it is shown that,

in the worst case, the compression algorithm converges to a solution (if there exists one) in $O(n^2)$ steps, where n is the number of tasks.

The same algorithm can be used to reduce the periods when the overload is over, so adapting task rates to the current load condition to better exploit the computational resources.

6 Conclusions

In this paper we presented the problems that can occur when running a real-time application in a processor with variable speed. It has been shown that, when tasks share mutually exclusive resources or execute in a non-preemptive fashion, response times could even increase when the processor runs at higher speeds. In addition, when the speed is decreased, a permanent overload could degrade the system's performance in an uncontrolled fashion. Such problems, if not properly handled, would prevent controlling the performance of a real-time system as a function of the voltage and would limit the use of algorithms for resource optimization (e.g., for minimizing energy consumption).

To address these problems, we proposed a set of mechanisms that can be implemented at the kernel level to develop scalable real-time applications, whose performance can be adjusted in a controlled fashion as a function of the processor speed. In particular, the use of non blocking communication buffers (like the CABs) has two main advantages: it avoids the scheduling anomalies that may arise due to speed variations and allows data exchange among periodic tasks with non harmonic period relations.

To cope with permanent overloads caused by a speed reduction, the elastic scheduling approach provides an efficient method for automatically adjusting the task rates based on a set of coefficients, that can be assigned during the design phase based on task importance. Both methods have been implemented on top of the HARTIK kernel [7] and have been experimented in a number of control applications.

In the future we plan to implement these techniques on top of other real-time kernels (e.g., RT-Linux and Linux-RK) as a middleware layer, to provide the basic building blocks for supporting for energy-aware real-time systems.

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